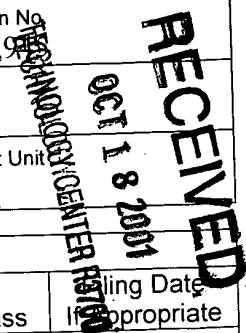


Substitute Form PTO-1009 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11872-030001	Application No. 09/841,941
		Applicant Dung A. Ngo et al.	
		Filing Date April 25, 2001	Group Art Unit 3728

**Information Disclosure Statement  
by Applicant**  
(Use several sheets if necessary)

(37 CFR §1.98(b))

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date Inappropriate
	AA	3,153,216	10/13/1964	Klitten			
	AB	3,212,172	10/19/1965	Davis			
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**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AQ	0 082 954 A1	07/06/1983	EP				
	AR	2 289 039	05/21/1976	France				
	AS	1,087,594	10/18/1967	Great Britain				
	AT	1,156,369	06/25/1969	Great Britain				

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AU	Copy of PCT Search Report for PCT/US99/06476 dated 07/09/1999
	AV	Copy of PTO Form 892 from U.S. Serial No. 09/276,164

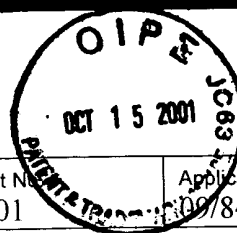
Examiner Signature

*C. J. Cubes*

Date Considered

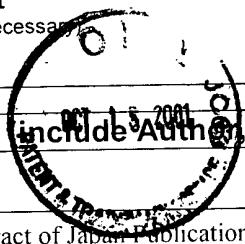
*8/1/03*

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11872-030001	Application No. 09/841,945
		Applicant Dung A. Ngo et al.	
		Filing Date April 25, 2001	Group Art U 3722

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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AW	Patent Abstract of Japan Publication No. JP 58-141515 A
	AX	Patent Abstract of Japan Publication No. 59-121810 A2
	AY	Report titled: "National Appliance and Equipment Energy Efficiency Program, Analysis of Potential for Minimum Energy Performance Standards for Distribution transformers", Draft Final Report dated 03 May 2000 by ark Ellis & Associates, 44, Albert Street, Wagstaffe, NSW 2257, Australia

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	